



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(21) International Application Number: <b>PCT/EP97/06263</b></p> <p>(22) International Filing Date: <b>10 November 1997 (10.11.97)</b></p> <p>(30) Priority Data:  <b>96203117.5</b>      <b>11 November 1996 (11.11.96)</b>      <b>EP</b>          (34) Countries for which the regional or international application was filed: <b>NL et al.</b></p> <p>(71) Applicant (for all designated States except US):  <b>GIST-BROCADES B.V. [NL/NL]; Wateringseweg 1, P.O. Box 1, NL-2600 MA Delft (NL).</b></p> <p>(72) Inventors; and          (75) Inventors/Applicants (for US only): <b>BOOIJ, Johannes [NL/NL]; Bos en Duinplein 10, NL-2061 VS Bloemendaal (NL). VAN DEN HEUVEL, Antonius [NL/NL]; Brinckerinckstraat 30, NL-2531 VD Den Haag (NL).</b></p> <p>(74) Agents: <b>VISSER-LUIRINK, Gesina et al.; Gist-Brocades N.V., Patents and Trademarks Dept., Wateringseweg 1, P.O. Box 1, NL-2600 MA Delft (NL).</b></p>		<p>(81) Designated States: <b>AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).</b></p> <p><b>Published</b>  <i>With international search report.          Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>
(54) Title: <b>PROCESS FOR THE PREPARATION OF SALTS AND ESTERS OF CLAVULANIC ACID</b>		
(57) Abstract		
<p>An improved process for the preparation of pharmaceutically acceptable alkali metal salts or esters of clavulanic acid has been provided for. Furthermore, also potassium clavulanate with an extremely low amine content and pharmaceutical compositions comprising the same have been provided for.</p>		

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PROCESS FOR THE PREPARATION OF SALTS AND ESTERS  
OF CLAVULANIC ACID

5       The present invention relates to a process for the preparation of pharmaceutically acceptable alkali metal salts and esters of clavulanic acid, especially potassium clavulanate.

Clavulanic acid and its alkali metal salts and esters are  $\beta$ -lactamase inhibitors, able to enhance the effectiveness of  
10 penicillins and cephalosporins.

Clavulanic acid is normally prepared by the fermentation of a microorganism which produces clavulanic acid as for instance Streptomyces strains such as Streptomyces clavuligerus. The resulting aqueous broth is normally subjected to  
15 conventional purification and concentration processes, such as disclosed in GB-1508977.

EP-A-26044 discloses the use of the tertiary butylamine salt of clavulanic acid as an useful intermediate in the preparation of clavulanic acid. Other amine salts of clavulanic  
20 acid are disclosed in for example WO 93/25557, WO 94/22873, EP-A-0387178, EP-A-562583, WO 96/26944 and WO 96/20199. In the present application also diamino ethers as disclosed in the latter application are considered as amines. All these cited references are incorporated in the present application by  
25 reference.

The aim of this invention is to prepare clavulanic acid and its pharmaceutically acceptable salts, such as potassium, wherein the desired substance is obtained in a high yield and of high purity.

30       A lot of process improvements were surprisingly found. First of all, whole broth extraction appears to be an advantageous alternative for removing solids from the fermentation broth. A further improvement relates to the application of an amine which forms with clavulanic acid an amine clavulanate,  
35 which sticks to the walls in the normally applied ethylacetate /acetone solution. The addition of a water miscible organic

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solvent like an alcohol, preferably absolute alcohol, avoids the tendency to stick to the walls of the diamine crystals of the crystallising vessel which results in a yield loss.

Furthermore, it appears to be possible to influence the crystal morphology of the end product by regulating the amount of water added during the crystallisation stage.

According to the present invention a process for the preparation of a pharmaceutically acceptable salt or ester of clavulanic acid has been provided comprising one or more of the following steps:

- fermentation of a clavulanic producing microorganism;
- acidifying the clavulanic acid containing broth;
- optional addition of a small amount of a water miscible solvent;
- extraction of the acidified clavulanic acid containing broth with a water immiscible organic solvent;
- concentration of the clavulanic acid containing water immiscible organic solution by evaporation;
- purification of the concentrated clavulanic acid solution by adsorption;
- filtration of the clavulanic acid solution;
- optional addition of one or more water miscible organic solvent(s);
- addition of an amine, optionally together with a water miscible solvent, to prepare a clavulanate salt of said amine;
- optional recrystallization of the clavulanate salt of amine formed;
- conversion into purified clavulanic acid by acidifying or into a pharmaceutically acceptable salt or ester clavulanate by adding a source of the corresponding salt or ester;
- separation of the pharmaceutically acceptable salt or ester clavulanate from the solution.

This applies for instance to the application of amines especially of N,N,N',N'-tetramethylethylenediamine, 1,3-

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bis(di-methylamino)-2-propanol, t-butylamine, t-octylamine, benzhydrylamine and bis (2-(dimethyl-amino)ethyl)ether.

In almost all prior art processes it is emphasized that it should be necessary to remove any of the suspended solids  
5 prior to solvent extraction. Although filtration or ultrafiltration does give favourable results, it turns out that whole broth extraction does give a comparable end result in terms of yield and purity of the end product. An important advantage of leaving out the filtration step is of course a  
10 larger process efficiency by leaving out a process step. The possible difficulties of broth instability and emulsion formation can be met by adding a small (up to about 25% of the total volume of the fermentation broth) amount of solvent such as a water miscible ketone or alcohol and/or pH adjustment to  
15 denature proteins in the broth and/or addition of a suitable demulsifier and therefore to improve the whole broth extraction performance.

During the crystallisation stage of the amine clavulanate it has been found advantageous to add a small amount of an  
20 alcohol like absolute ethanol to the mixture comprising clavulanic acid and the extracting solvent, preferably ethylacetate and the crystallising solvent, preferably acetone. In a preferred embodiment, the alcohol is added before or simultaneously with the amine. The application of this three component mixture of solvent diminishes the exhibition of the  
25 crystals to stick to the walls resulting in a loss of yield and it also leads surprisingly to an enhancement of the purity and general quality characteristics. All ways of addition of the amine to the mixture comprising clavulanic acid result  
30 then in favourable crystallization results, for instance besides addition of the amine to the mixture comprising clavulanic acid both simultaneous addition of the amine and the clavulanic acid mixture to a reaction vessel and the addition of clavulanic acid mixture to the amine. Furthermore,  
35 recrystallisation can optionally be applied by treating the aqueous solution of amine clavulanate, either neat or partly

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diluted with solvent, with charcoal and then sterile filtering the resulting solution prior to sterile crystallisation.

During the formation of the potassium clavulanate it has been found advantageous to form the same by slurrying the  
5 amine salt in a solvent, preferably a water miscible ketone or alcohol and then adding a potassium source, preferably potassium ethyl hexanoate in a solvent, also preferably a water miscible ketone or alcohol. Use of a slurry system reduces significantly the amount of solvent required which  
10 reduces costs and increases the yield of the endproduct.

Furthermore, it has been found advantageous to add a small amount of water to the (slurry) solvent. Surprisingly it has been found that by doing this it is possible to influence the crystal morphology of the final product. Thus it is possible  
15 to obtain crystals which can be washed more readily and which have improved filtration and drying characteristics. In this way it is possible to form either rosette or needle formed crystals, preferably a cluster of needles formed crystals.

Furthermore, especially in case as amine the bis(2-(di-  
20 methylamino)ethyl)ether is applied the residual amine in the endproduct is extremely low, lower than 0.05% w/w, preferably lower than 0.02% w/w appears to be possible, viz. ten times lower than the level of tertiary butylamine permitted by the British Pharmacopoeia. A low amine content in the amine  
25 clavulanate intermediate is desirable since it results in a more stable endproduct. Therefore, it is really advantageous to apply potassium clavulanate, prepared in this way, in a pharmaceutical composition comprising potassium clavulanate and amoxycillin trihydrate.

30 The following examples are given for illustration purposes only.

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## Examples

## Example 1

## 5 Whole broth extraction of a clavulanic acid containing broth

Broth resulting from a fermentation with Streptomyces clavuligerus, (3.5 l, containing 13.3 g of clavulanic acid) was cooled to 2 °C. This broth was added to 10.5 l ethylacetate at 1-3 °C while stirring. After addition of the broth, the  
10 pH of the mixture was adjusted to pH=1.6 with the aid of 3 M sulphuric acid. The mixture was rested to settle for 5 minutes, and the layers were separated. 9.25 l of ethylacetate layer was collected, containing 7.5 g of clavulanic acid.

15

## Example 2

## Evaporation of clavulanic acid containing ethyl acetate solution

20

The ethylacetate solution (9.25 l) from the preceding example was evaporated in vacuo at 30-35 °C, using a natural circulation evaporator. A concentrated solution (0.88 l) was obtained, containing 7.5 g of clavulanic acid. This solution  
25 was concentrated using a rotatory thin film evaporator (vacuum, 30-35 °C). A concentrate (0.11 l) was obtained, containing 7.4 g of clavulanic acid.

30

## Example 3

## Preparation of crystalline bis (2-(dimethylamino)ethyl) ether diclavulanate

Into a one litre three-necked roundbottom flask fitted  
35 with a thermometer, two dropping funnels and a stirrer was placed ethanol (50 ml). The temperature was brought to 10° C and under stirring an impure solution of clavulanic acid

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(400 ml; 32.9 g clavulanic acid/litre) in ethyl acetate and a solution of bis(2-(dimethylamino)ethyl)ether (13.5 g) in ethanol (230 ml) were added simultaneously. The temperature during the addition was maintained between 10-15° C. After the  
5 addition (15-20 minutes), the mixture was stirred for one hour at 5° C. The crystals were filtered, washed twice with ethyl acetate (40 ml) and dried under vacuum at room temperature. 16 g of bis(2-(dimethylamino)ethyl)ether diclavulanate was obtained.

10

#### Example 4

Purification of clavulanic acid solution by treatment with charcoal

15

The concentrated ethyl acetate solution of example 2 (7.4 g of clavulanic acid in 110 ml) was subjected to a charcoal treatment. The solution was cooled to 5 °C, and charcoal (16.5 g, Norit SX Ultra) was added. The mixture was stirred  
20 during two hours at 5-10 °C. Filter aid (Dicalite, 5 g) was added, and the mixture was filtered. The solid cake was washed with ethyl acetate until the volume of the collected filtrate was 185 ml.

25

#### Example 5

Crystallization of bis(2-(dimethylamino)ethyl)ether diclavulanate from a concentrated clavulanic acid solution in ethyl acetate

30

The following experiment was carried out in nitrogen atmosphere. Absolute ethanol (450 ml) was added to a solution of clavulanic acid in ethyl acetate (900 ml, concentration 40 g of clavulanic acid/litre). The solution was stirred,  
35 cooled to 10 °C, and bis(2-(dimethylamino)ethyl)ether (35.1 ml, 0.18 moles) was added. The mixture was cooled to 3 °C, and stirred during one hour. The crystal suspension was



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filtered, and the cake was washed with 200 ml of acetone, and again with 200 ml of acetone. The wet cake was dried in vacuum at room temperature. 45 g of bis(2-(dimethylamino)ethyl)ether diclavulanate was obtained.

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#### Example 6

Crystallization of potassium clavulanate starting from bis(2-(dimethylamino)ethyl)ether diclavulanate

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The following experiment was carried out in nitrogen atmosphere. Bis(2-(dimethylamino)ethyl)ether diclavulanate (10 g) was suspended in a mixture of acetone (300 ml) and water (6 ml). The mixture was stirred, cooled to 12 °C, and  
15 a solution of potassium 2-ethylhexanoate in acetone (125 ml of a 0.34 M solution) was added in the course of 10 minutes. The mixture was cooled to 5 °C, and stirred during one hour. The crystal suspension was filtered and the cake was washed with three cake volumes acetone. The wet cake was dried in  
20 vacuum at 20 °C. 8.22 g of potassium clavulanate was obtained.

#### Example 7

Purification of bis(2-(dimethylamino)ethyl)ether diclavulanate  
25 by recrystallization

The following experiment was carried out in nitrogen atmosphere. Bis(2-(dimethylamino)ethyl)ether diclavulanate (10 g) was dissolved in a mixture of absolute ethanol (45 ml)  
30 and water (5 ml) at 20 °C. The clear solution was added to acetone (250 ml) in the course of 20 minutes while stirring the mixture at 20 °C. The mixture was cooled to 5 °C, and stirred during 30 minutes. The crystal suspension was filtered, and the cake was washed with 2 cake volumes of acetone.  
35 The crystals were dried in vacuum at 20 °C. 9.44 g of bis(2-(dimethylamino)ethyl)ether diclavulanate was obtained.

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## Example 8

Crystallization of potassium clavulanate starting from bis(2-(dimethylamino)ethyl)ether diclavulanate with intermediate charcoal treatment

5

The following experiment was carried out in nitrogen atmosphere. Bis(2-(dimethylamino)ethyl)etherdiclavulanate(10 g) was dissolved in a mixture of absolute ethanol (45 ml) and water (5 ml) at 20 °C. The pH of the mixture was adjusted to  
10 pH=6.5 with the aid of 2-ethylhexanoic acid. Charcoal (1 g) was added, and the mixture was stirred during 30 minutes. Filter aid was added (Dicalite, 0.3 g), and the mixture was filtered. The cake was washed with absolute ethanol (5 ml), and acetone (10 ml). The combined filtrates were added to  
15 acetone (250 ml) at 10 °C. A crystal suspension was obtained. A solution of potassium 2-ethylhexanoate in acetone (125 ml of a 0.34 M solution) was added in the course of 10 minutes. The mixture was cooled to 5 °C, and stirred during one hour. The crystal suspension was filtered and the cake was washed  
20 with three cake volumes of acetone. The wet cake was dried in vacuum at 20 °C. 7.56 g of potassium clavulanate clusters of needles was obtained.

5

## CLAIMS

1. A process for the preparation of a pharmaceutically acceptable salt or ester of clavulanic acid comprising the following steps:

- 10       - fermentation of a clavulanic producing microorganism;
- acidifying the clavulanic acid containing broth;
- optional addition of a small amount of a water miscible solvent;
- extraction of the acidified clavulanic acid contain-
- 15       - ing broth with a water immiscible organic solvent;
- concentration of the clavulanic acid containing water immiscible organic solvent by evaporation;
- purification of the concentrated clavulanic acid solution by adsorption;
- 20       - filtration of the clavulanic acid solution;
- optional addition of one or more water miscible organic solvent(s);
- addition of an amine optionally together with a water miscible solvent to prepare a clavulanate salt
- 25       - of said amine;
- optional recrystallization of the clavulanate salt of amine formed;
- conversion into purified clavulanic acid by acidifying or into a pharmaceutically acceptable salt or
- 30       - ester clavulanate by adding a source of the corresponding salt or ester;
- separation of the pharmaceutically acceptable salt or ester clavulanate from the solution.

35

2. A process according to claim 1 wherein as amine any one of the amines N,N,N',N'-tetramethylethylenediamine, 1,3-bis(dimethylamino)-2-propanol, t-

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butylamine, t-octylamine, benzhydrylamine and bis (2-(dimethylamino)ethyl)ether is applied.

3. A process according to anyone of the claims 1 and 2,  
5 wherein solids are removed from the clavulanic acid containing fermentation broth before extraction.

4. A process according to anyone of the claims, characterized by the addition of a water miscible ketone and/or  
10 alcohol to the fermentation broth.

5. A process according to anyone of the claims 1 - 4, characterized by extraction of the acidified clavulanic acid containing broth with ethylacetate.  
15

6. A process according to anyone of the claims 1 - 5, wherein purification of the concentrated clavulanic acid solution is carried out by treatment with charcoal.

20 7. A process according to anyone of the claims 1 - 6, characterized by the addition of the water miscible solvent acetone to the clavulanic acid solution after the purification and filtration steps.

25 8. A process according to anyone of the claims 1 - 7, characterized by the addition of the water miscible solvent ethanol to the solution comprising clavulanic acid, before or simultaneous with the addition of the amine to prepare a clavulanate salt of the amine.

30 9. A process according to anyone of the claims 1 - 8, characterized by carrying out the conversion of the clavulanate salt of amine into potassium clavulanate by slurring the amine salt in a slurring solvent and adding a solution of a  
35 source of potassium ions.

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10. A process according to claim 9, characterized by adding a small amount of water to the slurring solvent.

11. A process according to anyone of the claims 1 - 10,  
5 characterized by recrystallization of said amine clavulanate in a mixture of ethanol and water, optional treatment with charcoal and crystallisation by the addition of acetone.

12. A process for the preparation of potassium clavulana-  
10 te, characterized by

- fermentation of Streptomyces clavuligerus to produce clavulanic acid;
- acidifying the clavulanic acid containing broth to a pH between 1 and 3 by addition of a concentrated  
15 acid solution;
- optional removing of solids from the fermentation broth;
- optional addition of a small amount of a water miscible ketone or alcohol;
- 20 - extraction of the acidified clavulanic acid containing broth with ethylacetate;
- concentration of the clavulanic acid containing ethylacetate solution by evaporation;
- purification of said solution by treatment with  
25 charcoal;
- filtration of the resulting clavulanic acid solution;
- optional addition of acetone;
- addition of ethanol and anyone of the amines  
30 N,N,N',N'-tetramethylethyleendiamine, 1,3-bis(dimethylamino)-2-propanol, t-butylamine, t-octylamine, benzhydrylamine and bis (2-(dimethylamino)ethyl)ether;
- optional recrystallisation of the potassium  
35 clavulanate formed by dissolution of the same in a mixture of ethanol and water, optional treatment with charcoal, and crystallisation in acetone;

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- conversion into potassium clavulanate by adding potassium ethylhexanoate;
- isolation of potassium clavulanate from the slurry.

5

13. A process according to claim 12, characterized by carrying out the conversion into potassium clavulanate by slurrying the amine clavulanate in a water miscible ketone or alcohol and optionally adding a small amount of water to the  
10 slurrying solvent.

14. Potassium clavulanate with an amine content of lower than 0.05% w/w.

15 15. Potassium clavulanate according to claim 14 with an amine content of lower than 0.02% w/w.

16. Pharmaceutical composition comprising potassium clavulanate as defined in claim 14 or 15, and amoxycillin  
20 trihydrate.

## INTERNATIONAL SEARCH REPORT

Inte. l.ional Application No

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## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 C07D503/02 A61K31/42

According to International Patent Classification(IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 C07D A61K

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## C. DOCUMENTS CONSIDERED TO BE RELEVANT

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